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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/839,574	04/23/2001	Marston Manthorpe	1530.0180002

CONFIRMATION NO. 1437

26111

STERNE, KESSLER, GOLDSTEIN & FOX PLLC  
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## FORMALITIES LETTER



\*OC00000006192996\*

Date Mailed: 06/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/20/2001 MAR2011 00000049 03830574

FILED UNDER 37 CFR 1.53(b)

01 FC:201 155.00 02  
02 FC:203 1227.00 02  
03 FC:205 12.00 02  
04 FC:202 126.00 01

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1447.
  - \$1287 for 143 total claims over 20.
  - \$160 for 4 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1867.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/2/2014 4:36:00 PM



DUPLICATE

**STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.**

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\*LIMITED TO MATTERS  
AND PROCEEDINGS BEFORE  
FEDERAL COURTS & AGENCIES  
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\*\*\*SENIOR COUNSEL

August 17, 2001

**WRITER'S DIRECT NUMBER:**  
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**INTERNET ADDRESS:**  
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Commissioner for Patents  
Washington, D.C. 20231

**Box Missing Parts**

Re: U.S. Utility Patent Application  
Appl. No. 09/839,574; Filed: April 23, 2001  
For: **Compositions and Methods for *in vivo* Delivery of  
Polynucleotide-Based Therapeutics**  
Inventors: Manthorpe *et al.*  
Our Ref: 1530.0180002/EKS/EJH

Sir:

In reply to the "Notice to File Missing Parts of Application--Filing Date Granted," dated June 18, 2001, Applicant(s) submits the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. PTO Fee Transmittal Form PTO/SB/17;
2. Authorization To Treat A Reply As Incorporating An Extension Of Time Under 37 C.F.R. § 1.136(a)(3) (*in duplicate*);
3. Copy of the Notice to File Missing Parts;
4. Original Declaration, executed by the inventors;
5. An original executed Power of Attorney from Assignee;

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6. Assignee 37 C.F.R. § 3.73(b) Statement with copy of Assignment attached (*not for recordation*);
7. Letter to PTO Draftsman: Submission of Formal Drawings (Figs. 1, 2A, 2B, 2C-2D, 3, 4A-4C, 5A-5C, 6A-6F, 7A-7B, 8, 9A-9B, 10, 11, 12, 13, 14, 15, 16A-16B, 16C, 16D, 17A-17B, 18, 19A, 19B, 20A, 20B, 21, 22, 23, 24, 25, and 26);
8. Return postcard; and
9. Our Check No. **31963** for **\$1,827.00** to cover:  
\$ 355.00 Filing Fee for Patent Application (37 C.F.R. § 1.16)  
\$ 1,407.00 Fee for excess claims (37 C.F.R. § 1.16)  
\$ 65.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16)

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Elizabeth J. Haanes, Ph.D.  
Agent for Applicants  
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EJH/pcd  
Encls.